

## Structural and Spectroscopic Analysis of Indole-3-Carbinol by First Principle Calculations

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### Abstract

Present work aims at identifying the conformational, spectroscopic profile and non-linear optical properties of Indole-3-carbinol compound by means of experimental and computational methods. To achieve this vivid and holistic view of the structural profile of Indole-3-carbinol compound, a complete conformational search using 3D potential energy scan has been obtained by varying selected dihedral angles. To ascertain the existence of two conformers of Indole-3-carbinol theoretical IR and Raman spectra have been calculated at different functionals and basis sets and compared with experimental Fourier-transform infrared (FT-IR) and FT-Raman spectra. Precise normal coordinate analysis has been performed and detailed interpretations of the vibrational spectrum of the molecule have been made, based on the calculated potential energy distribution (PED). The molecular properties like Dipole moment, polarizability and first static hyperpolarizability have been computed. UV spectrum of the title compound has also been calculated and compared with the experimental spectra in different medium. A good agreement between theoretical and experimental values has been observed.

*Keywords: Indole-3 carbinol; Potential energy surface; Non-linear optical behavior; Vibrational analysis*

### Introduction

Indole-3-carbinol (I3C) – a natural indolecarbinol, found in cruciferous vegetables of the family Brassicaceae (broccoli, cauliflower, bok choy, cabbage, garden cress, brussels sprouts etc.), is long been studied for its anticancer activities [1-10]. Recently Quirit et al. showed that I3C derivatives are effective inhibitors of NEDD4-1 ubiquitin ligase activity that disturb propagation of human melanoma cells [11]. Indole-3-carbinol is also reported as an inhibitor of glucocorticoid-induced apoptosis in osteoblastic cells by virtue of blocking ROS-mediated Nrf2 pathway [12]. Latosinska et al. [13] investigated structure–activity relationship of indole-3-carbinol and 3, 3'-diindolylmethane due to their structural differences using <sup>1</sup>H–<sup>14</sup>N NQDR, <sup>13</sup>C CP/MAS NMR and X-ray experimental techniques along with the theoretical density functional theory. They have reported that the either the crystal of I3C is composed of two conformers with unequal proportion or there is dynamic transformation between the two conformers. Ahna et al. [14] have investigated I3C in the gas phase using a laser

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desorption technique and came out with the presence of only one conformer of I3C in the mass-selected resonant two-photon ionization spectrum through UV-UV hole-burning technique. They calculated the anharmonic vibrational wave-numbers after declaring the harmonic wavenumbers of two conformers almost exactly identical. Theoretical calculation of anharmonic wave-numbers provided an explicit assignment of the experimental spectrum of I3C to the global minimum conformer of it. Ahna et al. have admitted that the moderate level of theory (6-31+G (d)) used for the anharmonic calculations can be challengeable. In spite of these theoretical studies, we feel more rigorous theoretical analysis is required for I3C; in particular effect of functionals and basis sets on vibrational wavenumbers should be investigated to have a deeper insight of the spectroscopic profile and molecular properties.

To have a vivid and holistic view of the structural profile of the compound, a complete conformational search using 3D potential energy scan has been obtained by varying selected dihedral angles. The Fourier-transform infrared (FT-IR) spectrum of the title compound have been recorded to make an explicit assignment of the experimental spectra. Precise normal coordinate analysis has been performed and detailed interpretations of the vibrational spectra (FT-IR and FT-Raman) of the molecule have been made, based on the calculated potential energy distribution (PED). The molecular properties like dipole moment, polarizability and first static hyperpolarizability have been computed. UV spectrum of the title compound has also been calculated and compared with the experimental spectra in different medium. The energies and oscillator strengths calculated by time-dependent density functional theory (TD-DFT) results complement with the experimental findings. To study the stability of the molecule arising from charge delocalization Natural bond orbital (NBO) analysis has been performed.

## **Experimental and Computational Details**

### **Sample and instrumentation**

The title compound indole-3 carbinol (henceforth abbreviated as I3C) was purchased from Sigma-Aldrich chemical Co., USA with a stated purity of greater than 99% and has been used as such without further purification for spectroscopic measurements. The FT-IR spectrum of title compound was recorded using Perkin Elmer version 10.03.06 series spectrometer in the region  $4000\text{ cm}^{-1}$  to  $400\text{ cm}^{-1}$  with a spectral resolution of  $4.0\text{ cm}^{-1}$ , using KBr pellet method. UV absorption spectrum was recorded in  $10^{-5}\text{ M}$  solution of I3C in methanol and water solvent, on JASCO-UV (V-670) UV-VIS spectrometer, in the spectral region of 200 nm-500 nm. Both FT-IR and UV-VIS spectra were recorded at IIT Kanpur. The FT-Raman spectrum in the region  $3000\text{ cm}^{-1}$ - $200\text{ cm}^{-1}$  with a spectral resolution of  $0.5\text{ cm}^{-1}$  was recorded on a Varian 7000 series spectrometer using 1064 nm laser line at AIRF, Jawaharlal Nehru University, New Delhi, India.

### **Computational details**

Molecular structure, electronic, non-linear and vibrational properties of indole derivatives have been recently investigated by Shukla et al. and Srivastava et al. [15,16]. In order to provide a comprehensive information pertaining to the structural features of I3C, the gradient-corrected DFT [17] using pure hybrid B3LYP [18,19] with polarised triple zeta 6-311++G (d, p) basis set has been employed. To investigate the effect of different functionals on spectroscopic profile of the title compound we have employed B3LYP, CAM-B3LYP [20] and M06-2X [21] functionals. Effect of basis sets if any, has been inspected at B3LYP with 6-31+G (d, p), 6-311++G (d, p) and cc-pVTZ basis sets. All calculations in this study have been performed with the Gaussian 09 program package [22] and results were analyzed with the Gauss view 5.0 molecular visualization program [23]. The most popular B3LYP (Beck 3 exchange and Lee-Yang-Parr correlation functional) functional used in the

study mixes a certain amount of the exact Hartree-Fock exchange energy into the exchange and correlation obtained from other functional. The meta-hybrid M06-2X functional has decent response under dispersion forces and hence regarded as one of the best functional to analyze the non-covalent interactions [21]. The CAM-B3LYP functional presented by Tawada et al. [20] integrates the long-range correction.

To investigate the most stable conformer of title molecule, 3D potential energy scan (PES) was performed by varying the C8-C16-O19-H20 and C7-C8-C16-O19 dihedral angles at B3LYP/6-311+G (d, p) level of theory. The obtained PES, showing the variation of energies with corresponding dihedral angles is given in FIG. 1 and the five stable conformers of the title molecule corresponding to minima's on PES are given in TABLE 1.

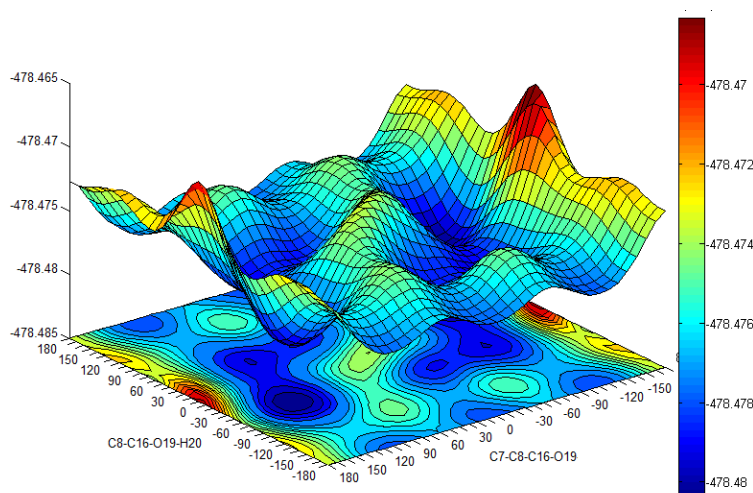


FIG. 1. 3-Dimensional potential energy scan (PES) of I3C molecule, scanned about dihedral angles C8-C16-O19-H20 and C7-C8-C16-O19 at DFT-B3LYP/6-311+G (d, p) level of theory.

TABLE 1. Energies of stable conformers of I3C molecule obtained by PES about dihedrals C8-C16-O19-H20 and C7-C8-C16-O19 by relaxing all other parameters, using DFT/6-311+G (d, p) method.

Conformers	Dihedrals		Energy (Hartree)
	C7-C8-C16-O19	C8-C16-O19-H <sub>2</sub> O	
a	120	50	-478.48038027
b	110	180	-478.47773254
c	90	50	-478.47923830
d	30	60	-478.47871632
e	0	180	-478.47766251

Two most stable conformers (a) and (c) (henceforth abbreviated as conf. 1 and conf. 2) having the energy difference of 0.717 Kcal/mol were further optimized at higher basis set 6-311++G (d, p) and the obtained ground state structures of both conformers of I3C are shown in FIG. 2. Both the conformers have been investigated for the calculation and comparison of various quantum chemical and spectroscopic properties. Positive value of all the calculated vibrational wavenumbers of both conformers confirms the stability of their optimized geometries. An empirical uniform scaling factor of 0.983 up to 1700 cm<sup>-1</sup> and 0.958 for greater than 1700 cm<sup>-1</sup> [24,25] were used to outweigh the systematic errors caused by basis set incompleteness,

neglect of electron correlation and vibrational anharmonicity. The simulated IR spectrums of both isomers have been compared with the experimental FT-IR of I3C compound.

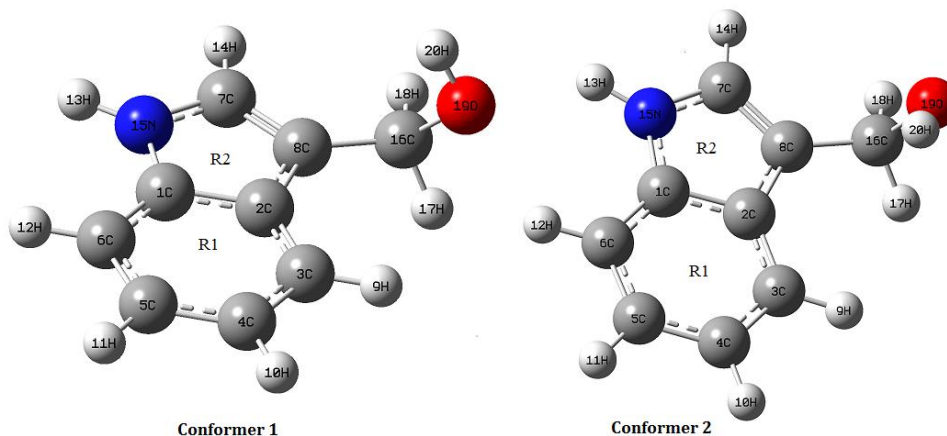


FIG. 2. Two most stable conformers of I3C molecule.

Vibrational assignments using percentage potential energy distribution (PED) have been done with the MOLVIB program (version V7.0-G77) written by Sundius [26-28]. The theoretical IR and Raman spectra have been simulated using the pure Lorentzian band shape with FWHM of  $10 \text{ cm}^{-1}$ .

The electronic absorption spectrum involves calculation of the allowed excitations and oscillator strengths. Theoretical UV-Vis spectrum have been computed by TD-DFT method with 6-311++G (d, p) basis set for gas phase and solvent effect has also been taken into consideration by implementing IEFPCM model at the same level of theory. The electronic properties such as HOMO and LUMO energies were also determined by TD-DFT approach. To investigate the reactive sites of the compound, the molecular electrostatic potential surface (MESP) has been drawn at DFT level.

Optimized structure of both conformers has also been used to calculate the dipole moment, mean polarizability and first static hyperpolarizability, based on the finite field approach using B3LYP. The dipole moment ( $\mu$ ), mean polarizability ( $\alpha_{\text{mean}}$ ) and first static hyperpolarizability ( $\beta$ ) have been calculated following Buckingham's definitions [28,29],

$$\mu = \sqrt{\mu_x^2 + \mu_y^2 + \mu_z^2}, (\alpha)_{\text{mean}} = \frac{1}{3}(\alpha_{xx} + \alpha_{yy} + \alpha_{zz}) \text{ and } \beta_{\text{total}} = \sqrt{\beta_x^2 + \beta_y^2 + \beta_z^2}$$

Where,  $\beta_x = \beta_{xxx} + \beta_{xyy} + \beta_{xzz}$ ,  $\beta_y = \beta_{yyy} + \beta_{yzz} + \beta_{xyy}$  and  $\beta_z = \beta_{zzz} + \beta_{zxx} + \beta_{zyy}$

The  $\alpha$  and  $\beta_{\text{tot}}$  values of Gaussian output are in atomic units (a.u.) and therefore are converted into electrostatic units (esu) ( $\alpha$ ; 1 a.u. =  $0.1482 \times 10^{-24}$  esu;  $\beta$ ; 1 a.u. =  $8.6393 \times 10^{-33}$  esu). To investigate the solvent effect on dipole moment of I3C, TD-DFT approach was used by implementing IEFPCM model at B3LYP/6-311++G (d, p) level.

To understand various second order interactions between the filled orbitals of one subsystem and vacant orbitals of another subsystem, we analyzed the Natural bond orbitals (NBO) [30], which quantify the intramolecular delocalization or

hyperconjugation. To have a good insight of the donor-acceptor interactions the second order perturbation theory analysis of Fock matrix in NBO basis of I3C has been carried out. The interaction is a consequence of loss of electron occupancy from the localized NBO of the idealized Lewis structure into an empty non-Lewis orbital. For each donor (i) and acceptor (j), the stabilization energy associated with the delocalization  $i \rightarrow j$  is estimated as:

$$E^{(2)} = q_i \frac{F(i, j)^2}{\epsilon_j - \epsilon_i}$$

Where,  $q_i$  is the donor orbital occupancy, are diagonal elements and  $F(i, j)$  is the off diagonal NBO Fock matrix element. These calculations allow us to analyse the probable charge-transfers and the intra-molecular bond paths. In addition, the variations in the thermodynamic functions such as heat capacity, entropy and enthalpy with temperature have also been calculated.

## Results and Discussion

### Molecular geometry and PES scan

The requisites of getting all possible conformers for any molecule is to perform potential energy surface (PES) scan. The conformational flexibility of the title compound depends on dihedral angles C7-C8-C16-O19 and C8-C16-O19-H20, therefore to calculate the stable conformers of the molecule, PES scan were performed at DFT/B3LYP/6-311++G (d, p) level of theory by varying aforesaid dihedral angles in steps of  $10^\circ$  from  $-180^\circ$  to  $180^\circ$  and all the geometrical parameters were simultaneously relaxed during the scan, excluding the two selected dihedral angles. The torsional profile of PES scan shown in FIG. 1, reveals 5 stable conformers (TABLE 1) corresponding to minima on PES. Eigen values obtained from scan output divulges that, I3C (a) (conf. 1) and I3C (c) (conf. 2), positioning the dihedral C7-C8-C16-O19/C8-C16-O19-H20 at  $120^\circ/50^\circ$  and  $90^\circ/50^\circ$  respectively having very minor energy difference of 0.717 kcal/mol are the two most stable conformers (TABLE 1). As the energy difference for the two conformers is very small (less than 1 kcal/mol), one can expect significant Boltzmann population for conf. 2 at the room temperature [13,14]. Therefore, both conformers were further optimized at the higher level B3LYP/6-311++G (d, p), relaxing all parameters. The ground state energy difference of the conformers further reduces at higher basis set. The optimized geometrical parameters of the two conformers of I3C are given in TABLE 2 and shown as bar chart in FIG. 3 for comparative view. The obtained optimized parameters were compared with the experimental X-ray data [13].

The computed average C-C bond lengths of six-member ring in both conformers is 1.40 Å, well matched with experimental average value 1.39 Å. Computed as well as experimental value of C7-C8 bond of hetero ring is shorter in comparison to all other C-C bonds of the same ring indicating its double bond nature. Bond lengths C1-N15 and C7-N15 are almost equal having same values in both conformers. No significant change is observed in respective bond lengths of both conformers. Due to different orientation of 'O' atom with respect to ring skeleton the HCO bonds show slightly different values in the two conformers while no remarkable change occurred in other bond angles of both conformers. The optimized values of C2-C8-C16-O19/C7-C8-C16-O19 dihedrals in conf. 1 and conf. 2 are computed to be  $62.70^\circ/85.25^\circ$  and  $117.80^\circ/91.57^\circ$  respectively. All the dihedral angles associated with fused ring are approximately either  $0^\circ$  or  $180^\circ$ , in good agreement with experimental values.

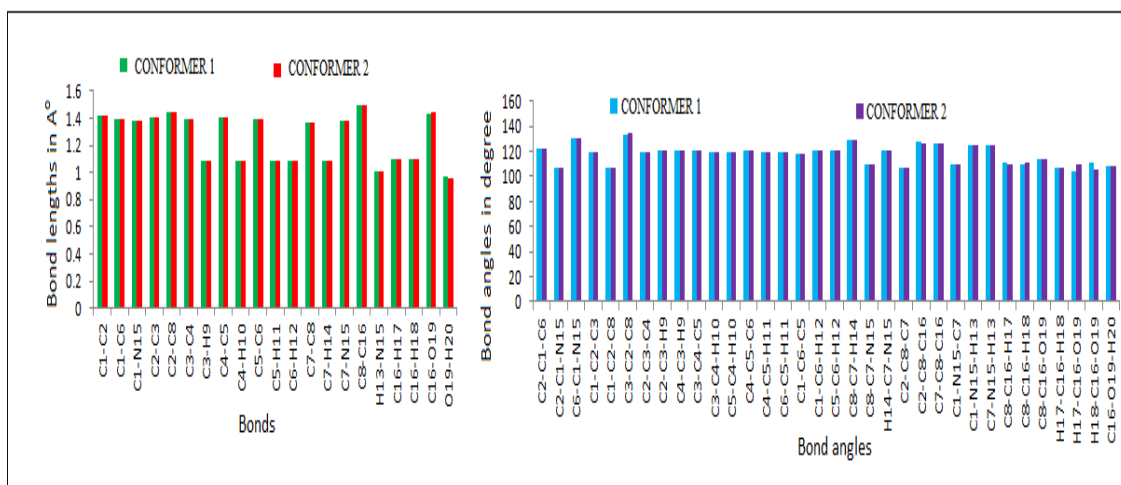


FIG. 3. Bar chart showing the comparative view of the optimized geometrical parameters of both conformers of I3C calculated at DFT-B3LYP/6-311++G (d, p) level.

TABLE 2. The optimized geometric parameters of I3C computed at B3LYP/6-311++G (d, p) level.

Bond length	Calc. value		Exp. value <sup>(*)</sup>	Bond angle	Calc. value		Exp. value <sup>(*)</sup>	Dihedral angle	Calc. value		Exp. <sup>(*)</sup>
	Conf. 1	Conf. 2			Conf. 1	Conf. 2			Conf. 1	Conf. 2	
C1-C2	1.419	1.420	1.408	C5-C4-	119.19	119.2	-	C2-C1-	179.	178.	
C1-C6	1.397	1.397	1.387	C4-C5-C6	121.23	121.1	121.66	C6-C1-	179.	179.	
C1-N15	1.382	1.381	1.371	C4-C5-	119.41	119.4	-	C6-C1-	0.32	1.06	
C2-C3	1.404	1.405	1.401	C6-C5-	119.37	119.4	-	C8-C2-C3-	1.17	0.18	-
C2-C8	1.444	1.445	1.431	C1-C6-C5	117.43	117.5	117.67	C1-C2-C8-	0.25	0.03	1.52
C3-C4	1.387	1.387	1.374	C1-C6-	121.50	121.4	-	C1-C2-C8-	179.	177.	179.39
C3-H9	1.084	1.085	0.945	C5-C6-	121.07	121.0	-	C3-C2-C8-	179.	179.	179.29
C4-C5	1.409	1.409	1.392	C8-C7-	129.40	129.3	-	C3-C2-C8-	0.64	3.14	0.21
C4-	1.084	1.084	1.007	C8-C7-	109.99	110.0	-	C2-C3-C4-	0.34	0.06	1.17
C5-C6	1.388	1.388	1.364	H14-C7-	120.61	120.6	-	C2-C3-C4-	179.	179.	-
C5-	1.084	1.084	1.012	C2-C8-C7	106.45	106.3	106.04	H9-C3-C4-	178.	179.	-
C6-	1.085	1.085	0.945	C2-C8-	127.42	126.9	126.96	H9-C3-C4-	0.71	0.21	-
C7-C8	1.372	1.372	1.362	C7-C8-	126.13	126.6	126.99	C3-C4-C5-	0.04	0.09	0.64
C7-	1.08	1.079	1.040	C1-N15-	109.19	109.3	108.97	C3-C4-C5-	179.	179.	-
C7-	1.381	1.380	1.359	C1-N15-	125.55	125.4	-	H10-C4-	179.	179.	-
C8-	1.495	1.495	1.470	C7-N15-	125.25	125.1	-	H10-C4-	0.14	0.10	-
H13-	1.006	1.006	0.901	C8-C16-	111.07	110.0	-	C4-C5-C6-	0.13	0.18	0.29
C16-	1.093	1.098	1.036	C8-C16-	109.56	110.6	-	C4-C5-C6-	179.	179.	-
C16-	1.098	1.091	-	C8-C16-	113.57	113.8	119.39	H11-C5-	180.	179.	-
C16-	1.436	1.439	1.337	H17-C16-	107.39	107.4	-	H11-C5-	0.01	0.06	-
O19-	0.963	0.963	0.071	H17-C16-	104.57	109.5	-	H14-C7-	179.	179.	-
Bond angle				H18-C16-	110.43	105.0	-	H14-C7-	0.09	2.37	-
C2-C1-	122.3	122.3	122.23	C16-O19-	107.86	108.0	-	N15-C7-	0.24	0.03	1.07
C2-C1-	107.2	107.1	107.28	Dihedral			N15-C7-	179.	179.		
C6-C1-	130.4	130.5	130.49	C6-C1-C2-	0.28	0.03	C8-C7-	0.14	0.20		
C1-C2-	118.9	118.7	118.38	C6-C1-C2-	179.	179.6	C8-C7-	179.	178.	-	
C1-C2-	107.1	107.1	107.10	N15-C1-C2-	179.	179.6	H14-C7-	179.	179.	-	
C3-C2-	133.8	134.0	134.51	N15-C1-C2-	0.17	0.08	H14-C7-	0.03	1.70	-	
C2-C3-	118.9	119.1	119.13	C2-C1-C6-	0.01	0.12	C2-C8-	62.7	85.2	60.78/83	

C2-C3-	120.3	120.6	-	C2-C1-C6-	180.	179.8		C7-C8-	117.	91.5	97.88
C4-C3-	120.7	120.2	-	N15-C1-C6-	179.	179.7		C8-C16-	48.0	46.4	-
C3-C4-	121.1	121.1	120.91	N15-C1-C6-	0.17	0.28					
C3-C4-	119.6	119.6	-	C2-C1-N15-	0.03	0.10					

<sup>(\*)</sup> J. N. Latosińska, M. Latosińska, M. Szafranski, J. Seliger, V. Žagar, D. V. Burchardt, CCDC 1039027: Experimental Crystal Structure Determination (2015).

### Electronic properties and UV-Vis studies

The highest occupied molecular orbital (HOMO), lowest unoccupied molecular orbital (LUMO) of a molecule are referred as frontier orbitals and the gap in energy between these two molecular orbitals is an important stability parameter. These orbitals determine the way the molecule interacts with other species. The HOMO-LUMO gap can be related with the chemical reactivity and kinetic stability of a molecule. Smaller the gap more polarisable is the molecule with a high chemical reactivity, low kinetic stability [31,25].

Fully optimized ground-state structure of both conformer of title molecule have been used at TD-DFT/B3LYP/6-311++G (d, p) level, to analyse the frontier molecular orbitals such as HOMO, LUMO and other molecular orbitals involved in electric transition, by computing their energies (TABLE 3) in gas phase as well as in water and methanol solvent. The frontier orbital gap,  $\Delta E_{(LUMO)-(HOMO)}$  (TABLE 3), of conformer 2 in gas phase is found to be 5.10871 eV while that of conf. 1 is predicted to be 5.16422 eV. The plots of frontier molecular orbitals (FIG. 4) of both conformers in methanol solvent shows that HOMO of the title molecule spreads over the entire molecule showing  $\pi$  bonding nature, while the LUMO is distributed over indole ring only and shows  $\pi$  anti-bonding nature.

TABLE 3. Calculated ground state energy (eV), frontier molecular orbitals energies (eV) and dipole moments (Debye) of I3C in gas and solution phase.

Parameters	Conformer 1			Conformer 2		
	Gas	Methanol	Water	Gas	Methanol	Water
$E_{total}$ (eV)	-13020.21692	-13020.51837	-13020.52865	-13020.18580	-13020.49547	-13020.50564
$E_{LUMO+1}$	-0.46151	-0.23783	0.23919	-0.43321	-0.23348	-0.23429
$E_{LUMO}$	-0.74968	-0.82070	-0.82478	-0.83812	-0.84900	-0.85145
$E_{HOMO}$	-5.91390	-5.96723	-5.97023	-5.94682	-5.97322	-5.97621
$E_{HOMO-1}$	-6.36724	-6.45649	-6.46112	-6.44616	-6.47718	-6.48044
$\Delta E_{(LUMO)-(HOMO)}$	5.16422	5.14653	5.14544	5.10871	5.12422	5.12476
$\Delta E_{(LUMO)-(HOMO-1)}$	5.61756	5.63579	5.63634	5.60804	5.62818	5.62899
$\Delta E_{(LUMO+1)-(HOMO)}$	5.45239	5.72940	6.20942	5.51361	5.73974	5.74192
$\mu_x$	-0.7544	-0.7457	-0.7437	-1.8383	-2.1003	-2.1077
$\mu_y$	3.6852	5.0440	5.0953	2.3393	3.0744	3.0965
$\mu_z$	0.2891	0.3848	0.3896	0.3399	0.4061	-0.4078
$\mu_{tot}$	3.7727	5.1133	5.1640	2.9945	3.7454	3.7679

In order to understand electronic transitions of compound, time-dependent DFT (TD-DFT) calculations on optimized structure of both conformers were performed in gas phase and solvent (methanol and water). The calculation of absorption wavelengths ( $\lambda$ ), oscillator strengths ( $f$ ) and vertical excitation energies ( $E$ ) for gaseous and solution phase (methanol and water) were carried out and compared with experimental values (TABLE 4).

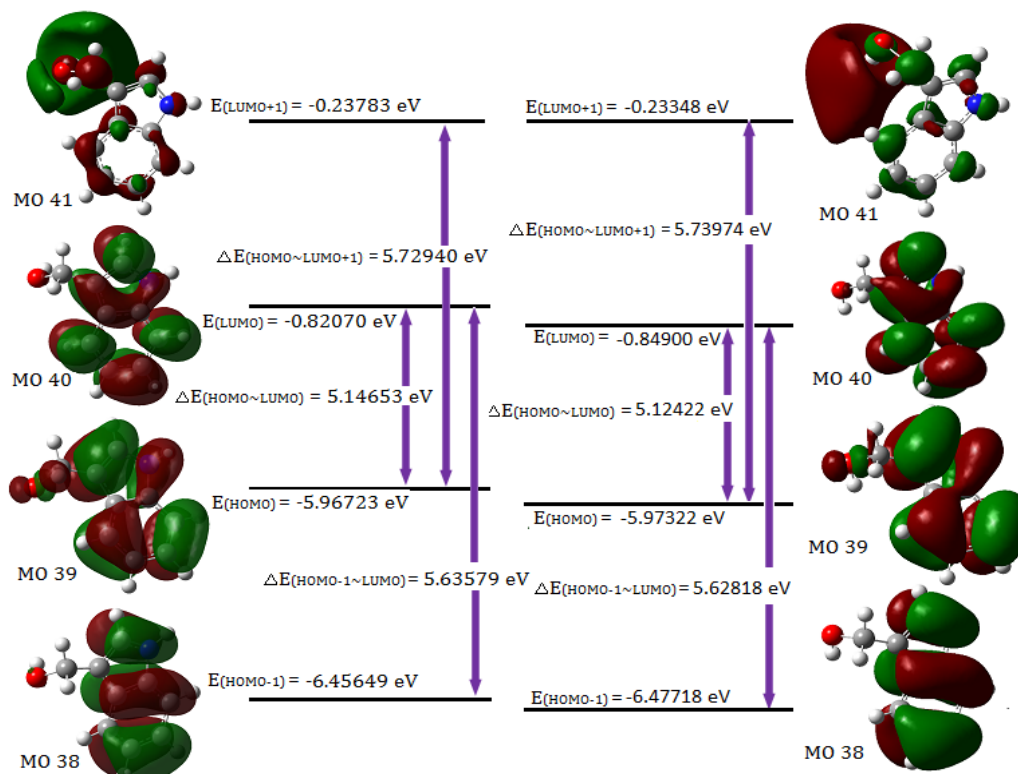


FIG. 4. Frontier molecular orbitals of both conformers of I3C in methanol solvent calculated using TD-DFT 6-311++G (d, p) level.

Experimental			Conf. 1			Conf. 2		
$\lambda$ (nm)	E (eV)	Abs.	$\lambda$ (nm)	E (eV)	$f$	$\lambda$ (nm)	E (eV)	$f$
Methanol								
278	4.4599	0.21	269.66 (H→L)	4.5979	0.0860	270.10 (H→L)	4.5903	0.0899
			256.32 (H-1→L)	4.8371	0.0318	256.62(H-1→L)	4.8314	0.0391
			246.74 (H→L+1)	5.0248	0.0426	246.63(H→L+1)	5.0272	0.018
224	5.5350	0.98	230.73 (H→L+2)	5.3735	0.0679	231.58(H→L+2)	5.3537	0.0206
			226.99 (H→L+4)	5.4620	0.0047	225.96 (H→L+4)	5.4870	0.0065
			224.47 (H-1→L+1)	5.5235	0.0066	223.93(H-1→L+1)	5.5366	0.0082
Water								
276	4.4922	0.57	269.81 (H→L)	4.5952	0.0860	270.12(H→L)	4.5899	0.0900
			256.33 (H-1→L)	4.8370	0.0313	256.59(H-1→L)	4.8320	0.0391
			246.64 (H→L+1)	5.0269	0.0438	246.53 (H→L+1)	5.0291	0.0186
226	5.4860	0.98	230.38 (H→L+2)	5.3816	0.0694	231.15(H→L+2)	5.3637	0.0218
			226.74 (H→L+4)	5.4682	0.0046	225.77(H→L+4)	5.4916	0.0063
			224.33 (H-1→L+1)	5.5269	0.0064	223.82(H-1→L+1)	5.5395	0.0081
Gas Phase								
			265.21 (H→L)	4.6750	0.0648	268.22(H→L)	4.6224	0.0695
			262.45 (L→L+1)	4.7241	0.0040	259.20(H→L+1)	4.7834	0.0017
			255.54 (H-1→L)	4.8518	0.0358	257.03 (H-1→L)	4.8237	0.0280



			239.60 (H→L+2)	5.1747	0.0418	241.87(H→L+2)	5.1260	0.0107
			238.45 (H-1→L+1)	5.1997	0.0090	234.55(H-1→L+1)	5.2860	0.0027
			233.79 (H→L+3)	5.3032	0.0004	230.94(H→L+3)	5.3686	0.0038

The simulated UV spectrum of Conf. 1/Conf. 2 consist of absorption peaks at 265.21/268.22 nm in gas phase with slight red shift in polar solvents namely methanol and water (269.66/270.10 nm and 269.81/270.12 nm). This electronic absorption corresponds to the transition from the HOMO (39) to the LUMO (40) excited state and is in good agreement with the intense electronic transition in experimental UV spectrum of title compound at 278 nm/276 nm in methanol/water solvent. Another intense absorption band at 224/226 nm in experimental spectrum of I3C in methanol/water solvent, with computed values 230.73/231.58 and 230.38/231.15 nm in Conf. 1 and Conf. 2. This electronic absorption is associated with the transition from HOMO→LUMO+2 in both the conformers. The experimental UV spectrum of title compound along with simulated that for both conformers in methanol and water solvents is shown in FIG. 5. Simulated UV spectra of both the conformers are nearly identical with peaks corresponding to the similar transitions in both the conformers except Conf. 1 having higher oscillator strength for the equivalent transition. Our theoretical UV analysis is in line with the studies of Latosinska et al. [13] that the crystal of I3C is composed of two conformers with unequal proportion (70% and 30%).

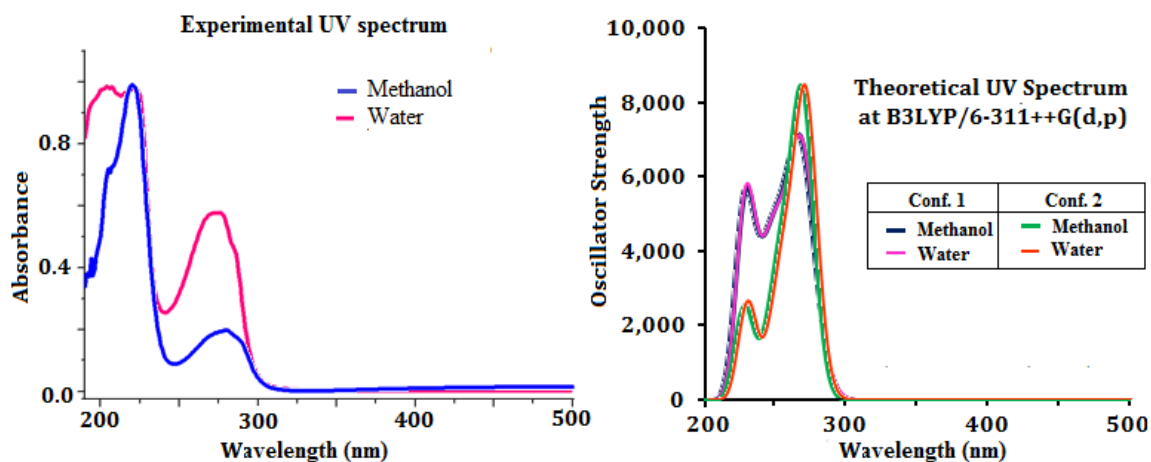


FIG. 5. Comparison of simulated UV absorption spectra of both conformers with experimental UV spectrum.

Molecular electrostatic potential map (MESP) (electrostatic potential plotted on top of an electron iso-density surface) may be used to predict binding sites for electrophilic attack (electron rich region) and nucleophilic attack (electron poor region). Even when the two molecules are structurally alike, the MESP map make clear that this similarity does not carry over into their electrophilic or nucleophilic reactivates. The MESP surface simultaneously displays molecular size, shape and electrostatic potential in terms of colour coding and is a practical tool in the investigation of correlation between molecular structure and the physiochemical property relationship of molecules including bio molecules and drugs [32-37]. The red and blue region refers to the electron rich and electron poor region while green region in the MESP suggests almost the neutral potential. Binding of a drug to its receptor site confide in the variation in electrostatic potential produced by a molecule, with binding site in general having opposite areas of electrostatic potential. The MESP map of conformer. 1 of I3C (FIG. 6) clearly suggests that the electron rich (red) region is spread around carbon atoms of hexa-ring of indole moiety as well as oxygen atom of carbinol group whereas regions around the hydrogen atoms are electropositive region, so are the preferred

binding sites for electro phobic attack (blue). The MESP map of conf. 2 also predict same electrophilic or nucleophilic reactive sites.

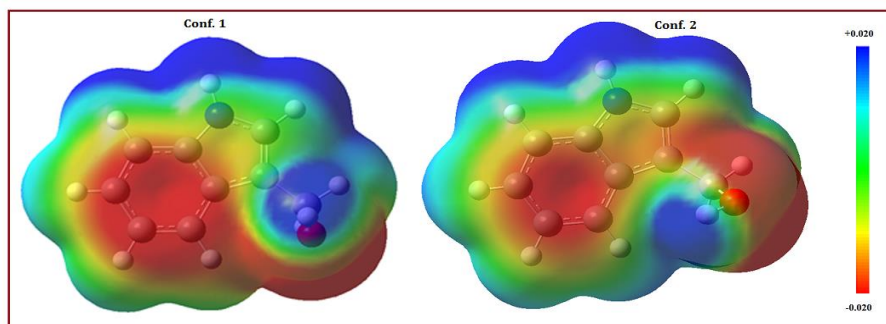


FIG. 6. MEP Surface for both conformers of I3C calculated at B3LYP/6-311++G (d, p).

### Non-linear optical properties

To predict the nonlinear optical activity of the title compound, components of various electric moments such as dipole moment ( $\mu$ ), polarizability ( $\alpha$ ) and first order static hyperpolarizability ( $\beta$ ) of both conformers, have been calculated using DFT/B3LYP/6-311++G (d, p) method. The dipole moment of the molecule is also computed and solvent effect has been taken into consideration by implementing IEF-PCM model. Calculation of polarizability and first hyperpolarizability ( $\beta$ ) is based on finite-field approach. First hyperpolarizability is a third rank tensor that can be described by a  $3 \times 3 \times 3$  matrix. All the 27 components of the matrix can be reduced to 10 components due to the Kleinman symmetry [37,38]. Total electric dipole moment ( $\mu$ ), mean polarizability  $\langle \alpha \rangle$  and total first order static hyperpolarizability ( $\beta_{total}$ ) have been calculated using the  $x$ ,  $y$  and  $z$  components of these parameters and are presented in TABLE 5.

TABLE 5. Electric dipole moment, polarizability and first order static hyperpolarizability data for I3C, calculated at B3LYP/6-311++G (d, p).

Dipole moments			Hyperpolarizability		
	Conf.1	Conf.2		Conf.1	Conf.2
$\mu_x$	-0.7544	-1.8383	$\beta_{xxx}$	84.1050	26.7678
$\mu_y$	3.6852	2.3393	$\beta_{xxy}$	-85.4094	-103.1269
$\mu_z$	0.2891	0.3399	$\beta_{xyy}$	9.6628	-6.1281
$\mu_{tot}$	3.7727	2.99450	$\beta_{yyy}$	12.8686	-27.6025
Polarizability			$\beta_{xxz}$	7.4824	$\beta_{xxz}$
$\alpha_{xx}$	148.8310	149.597	$\beta_{xyz}$	2.8041	-1.3228
$\alpha_{xy}$	10.2970	10.103	$\beta_{yyz}$	-29.9435	14.9638
$\alpha_{yy}$	130.3650	128.649	$\beta_{xzz}$	9.8330	34.8579
$\alpha_{xz}$	-4.2850	-5.876	$\beta_{yzz}$	-13.7914	-27.3206
$\alpha_{yz}$	2.9320	2.627	$\beta_{zzz}$	7.4638	34.5644
$\alpha_{zz}$	71.8800	71.774	$\beta_{tot}$	135.6882	180.5674
$\alpha_{mean}$	117.025	116.673	$\beta_{tot}(esu)$	$1.1723 \times 10^{-30}$	$1.5600 \times 10^{-30}$
$\alpha_{mean}(esu)$	$17.343 \times 10^{-24}$	$17.291 \times 10^{-24}$	-	-	-

The total dipole moment of the Conf. 1/Conf.2 is calculated to be 3.7727/2.9945 Debye. These values change significantly in polar solvents methanol (5.1133/3.7454 Debye) and water (5.1640/3.7679 Debye) (TABLE 4). The predicted value of mean polarizability ( $\alpha_{mean}$ ) of title compound are found to be  $17.3432 \times 10^{-24}$  esu and  $17.2910 \times 10^{-24}$  esu respectively for the two

conformers. The large value of total first order static hyperpolarizability ( $\beta_{\text{total}}$ ) indicates that the title compound possesses considerable non-linear optical properties.

### Vibrational analysis

The title molecule consists of 20 atoms with no special symmetry, hence all the  $(3n-6)$  54 normal modes of vibrations of the molecule are both IR- and Raman-active and are spread over the functional and fingerprint regions. To simulate the spectra, the calculated Raman and IR spectral intensities were used to convolute all the predicted vibrational modes by means of a pure Lorentzian line shape with a FWHM bandwidth of  $10 \text{ cm}^{-1}$ . To ascertain the existence of a single or both the conformers of the title compound we have thoroughly investigated the effect of different functionals as well as basis set on the simulated spectra. For this we have computed IR as well as Raman spectra at B3LYP, CAM-B3LYP and M06-2X functionals. Effect of basis sets on spectra have been examined using B3LYP functional with 6-31++G (d, p), 6-311++G (d, p) and cc-pVTZ basis sets. IR spectra of both the conformers were first calculated at different basis sets and it is evident from FIG. 7 that spectra of Conf. 1 are alike irrespective of the basis set and same is true for Conf. 2 also. Plot of IR and Raman spectra (FIG. 8 and FIG. 9) of the two conformers at different functionals suggests that the spectra do change with the functionals.

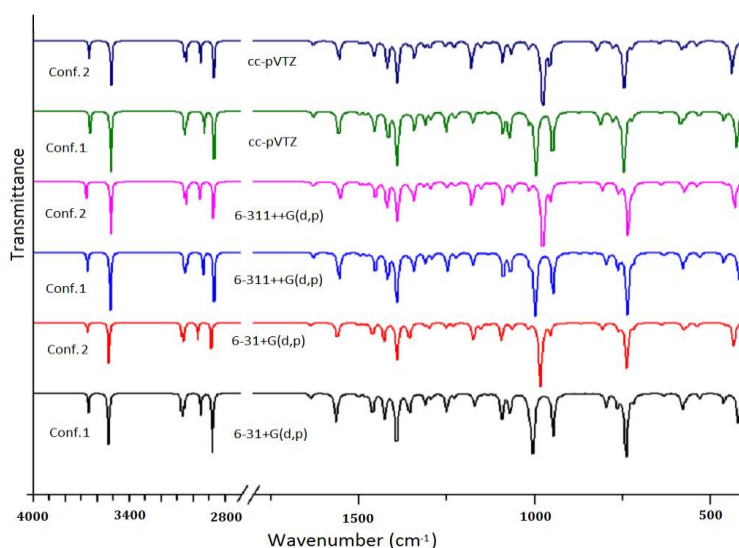


FIG. 7. IR spectra of both the conformers of I3C calculated at different basis sets.

Further we have calculated the combined spectra of the Conf. 1 and Conf. 2 with 70% and 30% contribution respectively. A close examination of FIG. 8 showed that major difference in the spectra of two conformers is in the range  $1200 \text{ cm}^{-1}$ - $700 \text{ cm}^{-1}$  which led us to plot the simulated combined spectrum at B3LYP/6-311++G (d, p) with the experimental FT-IR Spectrum (FIG. 10). Due to the reasonable agreement between the two spectra (simulated and experimental), theoretical vibrational analysis was performed for Conf. 1 at the B3LYP level with 6-311++G (d, p) basis set. For detailed vibrational description of title molecule normal coordinate analysis has also been performed using MOLVIB program. For this purpose, the full set of non-redundant internal coordinates have been defined (TABLE S1 supplementary material) and using these a set of 54 local symmetry coordinates for I3C were defined as recommended by Fogarasi and Pulay [38-40] presented in TABLE S2 (supplementary material). The method is useful for determining the mixing of other modes, but maximum contribution is accepted to be the most significant mode. Specific assignment to each vibration is attempted through potential energy

distribution (PED). Observed FT-IR and FT-Raman bands (FIG. 11) and calculated IR and Raman intensities, scaled wave numbers along with their assignment are given in TABLE 6.

TABLE S1. Definition of internal coordinates of I3C.

S. No.	Symbol	Type	Definitions
<b>Stretching</b>			
1-6	$r_i$	C-C (R1)	C1-C2, C2-C3, C3-C4, C4-C5, C5-C6, C6-C1
7-8	$r_i$	C-C (R2)	C2-C8, C8-C7
9-10	$r_i$	C-N (R2)	C1-N15, C7-N15
11-14	$r_i$	C-H (R1)	C3-H9, C4-H10, C5-H11, C6-H12
15	$r_i$	C-H (R2)	C7-H14
16	$r_i$	N-H (R2)	N15-H13
17	$r_i$	C-C	C8-C16
18	$r_i$	C-O	C16-O19
19-20	$r_i$	C-H	C16-H17, C16-H18
21	$r_i$	O-H	O19-H20
<b>In-plane bending</b>			
22-27	$\alpha_i$	CCC (R1)	C6-C1-C2, C1-C2-C3, C2-C3-C4, C3-C4-C5, C4-C5-C6, C5-C6-C1
28-32	$\alpha_i$	CC-C/N (R2)	C1-N15-C7, N15-C7-C8, C7-C8-C2, C8-C2-C1, C2-C1-N15
33-40	$\alpha_i$	CCH (R1)	C2-C3-H9, C4-C3-H9, C3-C4-H10, C5-C4-H10, C4-C5-H11, C6-C5-H11, C5-C6-H12, C1-C6-H12
41-44	$\alpha_i$	C(C/N)H (R2)	C8-C7-H14, N15-C7-H14, C7-N15-H13, C1-N15-H13
45-46	$\alpha_i$	CCC	C7-C8-C16, C2-C8-C16
47	$\alpha_i$	HCH	H17-C16-H18
48		CCO	C8-C16-O19
49-52	$\alpha_i$	(C/O) CH	C8-C16-H17, C8-C16-H18, O19-C16-H17, O19-C16-H18
53	$\alpha_i$	COH	C16-O19-H20
<b>Out of plane bending</b>			
54-57	$\gamma_i$	HCCC (R1)	H9-C3-C2-C4, H10-C4-C3-H5, H11-C5-C4-C6, H12-C6-C5-C1
58	$\gamma_i$	HCCN (R2)	H14-C7-C8-N15
59	$\gamma_i$	HNCC (R2)	H13-N15-C7-C1
60	$\gamma_i$	CCCC	C16-C8-C7-C2
<b>Torsion/Twisting</b>			
61-66	$\tau_i$	CCCC (R1)	C6-C1-C2-C3, C1-C2-C3-C4, C2-C3-C4-C5, C3-C4-C5-C6, C4-C5-C6-C1, C5-C6-C1-C2
67-71	$\tau_i$	CCCC/N (R2)	C1-N15-C7-C8, N15-C7-C8-C2, C7-C8-C2-C1, C8-C2-C1-N15, C2-C1-N15-C7,
72-73	$\tau_i$	CCCC/N (Fused)	C8-C2-C1-C6, C3-C2-C1-N15
74-75	$\tau_i$	CCCO	C2-C8-C16-O19, C7-C8-C16-O19
76	$\tau_i$	CCOH	C8-C16-O19-H20

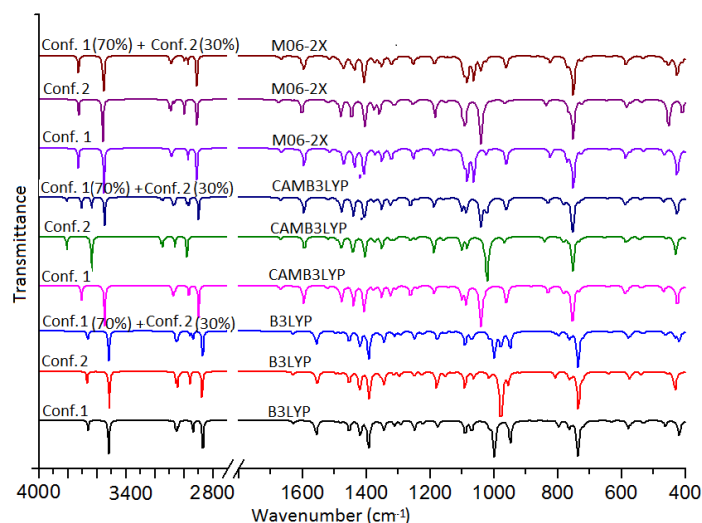


FIG. 8 Simulated IR spectra of the both conformers calculated using different functionals.

TABLE S2. Local symmetry coordinates of I3C.

S. No.	Symbol	Definitions	S. No	Symbol	Definitions
1-6	$\nu(\text{C-C})(\text{R1})$	$\Gamma_1, \Gamma_2, \Gamma_3, \Gamma_4, \Gamma_5, \Gamma_6$	33	$\beta(\text{C8-C16})$	$(\alpha_{45}-\alpha_{46})/\sqrt{2}$
7-8	$\nu(\text{C-C})(\text{R2})$	$\Gamma_7, \Gamma_8$	34	sicsCH <sub>2</sub>	$(5\alpha_{47}+\alpha_{48})/\sqrt{26}$
9-10	$\nu(\text{C-N})(\text{R2})$	$\Gamma_9, \Gamma_{10}$	35	sicsCCO	$(\alpha_{47}+5\alpha_{48})/\sqrt{26}$
11-14	$\nu(\text{C-H})(\text{R1})$	$\Gamma_{11}, \Gamma_{12}, \Gamma_{13}, \Gamma_{14}$	36	rock CH <sub>2</sub>	$(\alpha_{49}-\alpha_{50} + \alpha_{51}-\alpha_{52})/2$
15	$\nu(\text{C-H})(\text{R2})$	$\Gamma_{15}$	37	wag CH <sub>2</sub>	$(\alpha_{49}+\alpha_{50}-\alpha_{51}-\alpha_{52})/2$
16	$\nu(\text{N-H})(\text{R2})$	$\Gamma_{16}$	38	twist CH <sub>2</sub>	$(\alpha_{49}-\alpha_{50}-\alpha_{51}+\alpha_{52})/2$
17	$\nu(\text{C-C})$	$\Gamma_{17}$	39	$\beta(\text{C-O-H})$	$\alpha_{53}$
18	$\nu(\text{C-O})$	$\Gamma_{18}$	40-43	$\gamma(\text{C-H})(\text{R1})$	$\gamma_{54}, \gamma_{55}, \gamma_{56}, \gamma_{57}$
19	$\nu_{\text{as}}\text{CH}_2$	$(\Gamma_{19} + \Gamma_{20})/\sqrt{2}$	44	$\gamma(\text{C-H})(\text{R2})$	$\gamma_{58}$
20	$\nu_{\text{ss}}\text{CH}_2$	$(\Gamma_{19}-\Gamma_{20})/\sqrt{2}$	45	$\gamma(\text{N-H})(\text{R2})$	$\gamma_{59}$
21	$\nu(\text{O-H})$	$\Gamma_{21}$	46	$\gamma(\text{C8-C16})$	$\gamma_{60}$
22	$\delta_{\text{trig}}(\text{R1})$	$(\alpha_{22}-\alpha_{23} + \alpha_{24}-\alpha_{25} + \alpha_{26}-\alpha_{27})/\sqrt{6}$	47	$\tau_1(\text{R1})$	$(\tau_{61}-\tau_{62} + \tau_{63}-\tau_{64} + \tau_{65}-\tau_{66})/\sqrt{6}$
23	$\delta_{\text{as1}}(\text{R1})$	$(2\alpha_{22}-\alpha_{23}-\alpha_{24} + 2\alpha_{25}-\alpha_{26}-\alpha_{27})/\sqrt{12}$	48	$\tau_2(\text{R1})$	$(\tau_{61}-\tau_{63} + \tau_{64}-\tau_{66})/\sqrt{4}$
24	$\delta_{\text{as2}}(\text{R1})$	$(\alpha_{23}-\alpha_{24} + \alpha_{26}-\alpha_{27})/2$	49	$\tau_3(\text{R1})$	$(-\tau_{61} + 2\tau_{62} - \tau_{63} - \tau_{64} + 2\tau_{65} - \tau_{66})/\sqrt{12}$
25	$\delta_1(\text{R2})$	$\alpha_{28} + a(\alpha_{29} + \alpha_{32}) + b(\alpha_{30} + \alpha_{31})$	50	$\tau_1(\text{R2})$	$b(\tau_{67} + \tau_{71}) + a(\tau_{68} + \tau_{70}) + \tau_{69}$
26	$\delta_2(\text{R2})$	$(a-b)(\alpha_{29}-\alpha_{31}) + (1-a)(\alpha_{30}-\alpha_{32})$	51	$\tau_2(\text{R2})$	$(a-b)(\tau_{70}-\tau_{68}) + (1-a)(\tau_{71}-\tau_{67})$
27-30	$\beta(\text{C-H})(\text{R1})$	$(\alpha_{33}-\alpha_{34})/\sqrt{2}, (\alpha_{35}-\alpha_{36})/\sqrt{2},$ $(\alpha_{37}-\alpha_{38})/\sqrt{2}, (\alpha_{39}-\alpha_{40})/\sqrt{2}$	52	butt(C1-C2)	$(\tau_{72}-\tau_{73})/\sqrt{2}$
31	$\beta(\text{C-H})(\text{R2})$	$(\alpha_{41}-\alpha_{42})/\sqrt{2}$	53	$\tau(\text{C8-C16})$	$(\tau_{74} + \tau_{75})/\sqrt{2}$
32	$\beta(\text{N-H})(\text{R2})$	$(\alpha_{43}-\alpha_{44})/\sqrt{2}$	54	$\tau(\text{C16-O19})$	$\tau_{76}$

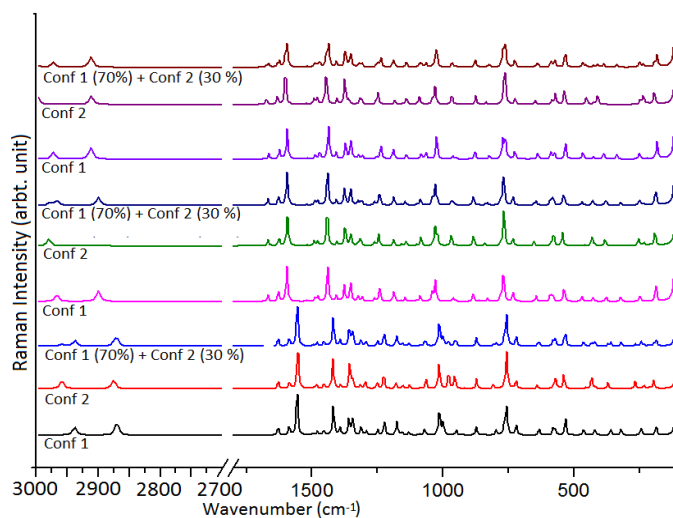


FIG. 9. Simulated Raman spectra of the both conformers calculated using different functionals.

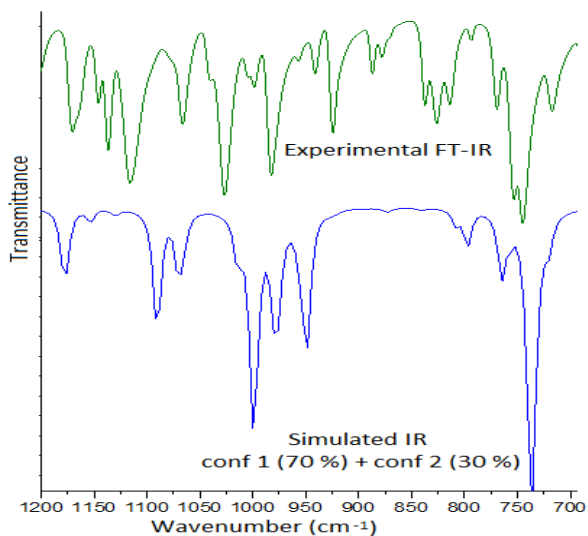


FIG. 10. Simulated combined spectrum at B3LYP/6-311++G (d, p) with the experimental FT-IR spectrum in the range 700 cm<sup>-1</sup>-1200 cm<sup>-1</sup>.

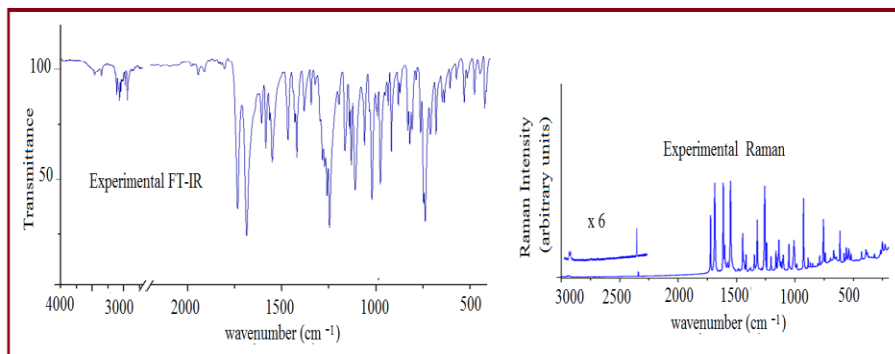


FIG. 11. Experimental FT-IR and FT-Raman spectrum of I3C.

The vibrational bands of carbinol moiety contain molecular vibrations associated with O-H, C-O and CH<sub>2</sub> groups. A marked shift in the O-H group vibrations for the hydrogen bonded systems is the key feature of the vibrational spectra these systems. Hydrogen bonded O-H stretching vibrations normally occur in the region around 3500 cm<sup>-1</sup>-2500 cm<sup>-1</sup>. In FTIR spectrum of I3C a broad band at 3473 cm<sup>-1</sup> is assigned to O-H stretching vibrations. A comparison of this band with that of computed values 3662 cm<sup>-1</sup> and 3669 cm<sup>-1</sup> for Conf. 1 and Conf. 2 conformers respectively shows positive deviation of ~189 cm<sup>-1</sup> and 196 cm<sup>-1</sup>, this may be due to the presence of strong intermolecular hydrogen bonding (O-H...O). The in-plane bending mode of O-H group is identified as a mixed mode vibration having calculated wavenumber 1312/1315 cm<sup>-1</sup> for Conf. 1/Conf. 2; these are also in good agreement with strong peak observed at 1321 cm<sup>-1</sup> in FT-IR spectrum of I3C. The major difference in the spectra of two conformers is in the C-O stretching vibration calculated at 999 for Conf. 1 and at 978 cm<sup>-1</sup> for Conf. 2, the experimental peak is somewhat midway between the two calculated wavenumbers at 984/985 cm<sup>-1</sup> in FTIR/FT-Raman spectrum.

TABLE 6. Comparison of experimental FT-IR, FT-Raman spectral data, infrared intensities, Raman intensities of molecule I3C along with the assignments of vibrational modes based on PED results.

Calc. wave numbers in cm <sup>-1</sup>				Experimental wave number		Computed IR/Raman int.				Assignment of dominant modes in order of decreasing potential energy distribution (PED)
Unscaled		Scaled				Conf.1		Conf.2		
Conf.1	Conf.2	Conf.1	Conf.2	FTIR in cm <sup>-1</sup>	Raman in cm <sup>-1</sup>	I <sub>IR</sub> <sup>a</sup>	I <sub>Ra</sub> <sup>a</sup>	I <sub>IR</sub> <sup>a</sup>	I <sub>Ra</sub> <sup>a</sup>	
3823	3830	3662	3669	3473		21.5	2.07	20.4	1.35	v(O-H)(100)
3673	3670	3519	3516	3370		77.9	4.69	78.9	4.72	v(N-H)(R2)(99)
3239	3244	3103	3108	3101 w		0.5	5.49	0.1	5.62	v(C-H)(R2)(99)
3192	3190	3058	3056	3069 w		12.3	12.81	16.1	15.08	v(C-H)(R1)(99)
3183	3177	3050	3044	3044 w		18.9	4.72	25.6	3.27	v(C-H)(R1)(99)
3171	3167	3038	3034			11.0	6.20	1.8	7.06	v(C-H)(R1)(99)
3163	3160	3030	3027			0.5	2.77	3.1	1.33	v(C-H)(R1)(99)
3065	3088	2937	2958	2920 w		21.9	6.27	26.0	6.42	v <sub>as</sub> CH <sub>2</sub> (85)
2995	3001	2870	2875	2857 w		70.0	9.22	51.8	6.41	v <sub>ss</sub> CH <sub>2</sub> (85)
				1708 w	1723					Overtone
				1648 s						Overtone
1657	1657	1629	1629	1624 s	1687	4.8	4.24	4.8	4.03	v(C-C)(R1)(46)+ δ <sub>2</sub> (R2)(13)+ v(C-C)(R2)(9)
1615	1613	1587	1586	1590	1614	0.3	4.37	0.3	3.57	v(C-C)(R2) 43)+ v(C-C) (R1)(35)
				1564 m						Overtone
1584	1581	1557	1554	1519 vs	1552	27.2	23.64	24.3	24.10	v(C-C)(R2)(51)+ δ <sub>2</sub> (R2)(19)+ v(C-C)(R1)(9)
1522	1521	1496	1495			2.4	0.71	2.5	0.75	v(C-C)(R1)(36)+ β(C-H)(R1)(33)+ v(C-

										N)(R2)(12)+ β(N-H)(R2)(9)
1504	1508	1479	1482			2.6	2.37	2.7	2.57	sicsCH <sub>2</sub> (88)+ sicsCCO(10)
1479	1479	1454	1454		1448	20.5	2.36	21.1	2.44	v(C-C)(R <sub>1</sub> )(43)+ β(C- H)(R <sub>1</sub> )(33)+ v(C-N)(R <sub>2</sub> )(9)
1444	1446	1419	1421	1431 vs	1419	27.1	15.9 3	32.4	16.5 9	v(C- N)(R <sub>2</sub> )(25)+ β(N- H)(R <sub>2</sub> )(24)+ v(C-C)(R <sub>1</sub> )(15)+ β(C- H)(R <sub>1</sub> )(11)+ v(C-C)(R <sub>2</sub> )(11)
1417	1415	1393	1391	1398 vs		60.9	4.07	55.7	2.31	wagCH <sub>2</sub> (63)+ β(C-O-H)(17)
1382	1379	1359	1356		1348	2.2	8.73	5.3	12.4 7	v(C-C)(R <sub>2</sub> )(27)+ β(C- H)(R <sub>1</sub> )(22)+ twist CH <sub>2</sub> (13)
1368	1368	1345	1345	1348 s	1323	18.1	8.65	22.5	4.67	v(C-C)(R <sub>1</sub> )(67)+ δ <sub>2</sub> (R <sub>2</sub> )(22)
1335	1338	1312	1315	1321 s		9.7	4.07	4.1	1.94	β(C-O-H)(27)+ β(C- H)(R <sub>1</sub> )(15)+ twist CH <sub>2</sub> (14)+ v(C-C)(R <sub>2</sub> )(13)+ wag CH <sub>2</sub> (13)
1315	1318	1292	1296	1290 s		5.4	2.26	7.1	3.46	v(C- N)(R <sub>2</sub> )(31)+ β(C- H)(R <sub>2</sub> )(13)+ δ <sub>2</sub> (R <sub>2</sub> )(10)+ wag CH <sub>2</sub> (9)
1271	1272	1249	1250	1255 m	1259	18.0	2.98	6.6	3.22	β(C- H)(R <sub>1</sub> )(40)+ v(C-C)(R <sub>2</sub> )(16)+ v(C-C)(R <sub>1</sub> )(14)
1245	1247	1223	1226	1212 s	1243	4.7	6.91	5.3	6.67	v(C- N)(R <sub>2</sub> )(35)+ v(C-C)(R <sub>1</sub> )(14)+ δ <sub>2</sub> (R <sub>2</sub> )(12)+ β(C-H)(R <sub>2</sub> )(10)
1196	1199	1176	1179	1164 m	1162	11.2	6.85	30.4	2.98	Twistb2CH <sub>2</sub> (40) + β(C-O- H)(27)+ v(C- C)(R <sub>2</sub> )(9)
1175	1173	1155	1153	1150 w	1147	0.6	1.27	7.3	1.61	β(C- H)(R <sub>1</sub> )(66)+ v(C-C)(R <sub>1</sub> )(16)
1151	1147	1131	1128	1134 w	1138	1.2	1.51	1.8	1.88	β(C- H)(R <sub>1</sub> )(44)+ v(C-C)(R <sub>1</sub> )(32)
1109	1110	1090	1091	1116 s	1101	27.3	0.42	29.5	0.38	β(N- H)(R <sub>2</sub> )(26)+ β(C-H)(R <sub>2</sub> )(12)



										+ $\delta_{\text{trig}}(\text{R}_1)(13)$
1089	1082	1070	1064	1074 s	1051	20.3	3.30	11.5	4.46	$\delta_{\text{trig}}(\text{R}_1)(28)+$ $\nu(\text{C-C})(\text{R}_1)(16)+$ $\delta_2(\text{R}_2)(14)$
1032	1033	1014	1015	1014 m	1008	9.1	12.7 3	8.6	12.4 9	$\nu(\text{C-C})(\text{R}_1)(73)$
1016	995	999	978	984 w	985vw	90.1	6.09	135.8	7.31	$\nu(\text{C-O})(71)$
978	973	961	956			0.1	0.16	9.5	3.88	$\gamma(\text{C-}$ $\text{H})(\text{R}_1)(82)+$ $\tau_1(\text{R}_1)(14)$
965	972	949	955	928 m	927	46.9	2.62	10.3	2.15	rock $\text{CH}_2(46)+$ $\nu(\text{C-C})(\text{R}_2)(10)+$ $\beta(\text{C-O-H})(9)$
942	936	926	920			0.9	0.31	0.9	0.21	$\gamma(\text{C-H})(\text{R}_1)(86)$
889	887	873	872	873 s	867w	0.7	4.23	0.9	4.72	$\delta_{\text{trig}}(\text{R}_1)(29)+$ $\delta_2(\text{R}_2)16)+$ $\delta_1(\text{R}_2)(16)+$ $\nu(\text{C-}$ $\text{N})(\text{R}_2)(11)+$ $\nu(\text{C-C})(\text{R}_1)(11)$
855	852	841	838	823 s	848w	0.5	0.31	0.3	0.11	$\gamma(\text{C-H})(\text{R}_1)(72)+$ $\tau_1(\text{R}_1)(13)$
811	822	797	808	782 vs	789w	11.2	2.31	10.0	1.74	$\gamma(\text{C-H})(\text{R}_2)(66)+$ $\tau_1(\text{R}_2)(11)$
779	777	765	764	757 s		14.4	5.21	11.1	1.87	$\tau_1(\text{R}_1)(38)+$ $\delta_2(\text{R}_2)(18)$
770	770	757	757		756	3.2	13.0 1	4.3	17.5 2	$\nu(\text{C-C})(\text{R}_1)(38)+$ $\tau_1(\text{R}_1)(29)+$ $\delta_2(\text{R}_2)(17)$ <b>RBM</b>
750	748	737	735	745 s		85.9	0.31	87.1	0.36	$\gamma(\text{C-H})(\text{R}_1)(83)$
733	733	720	721	713 w		6.3	4.45	6.1	3.43	$\tau_1(\text{R}_1)(19)+$ $\delta_{\text{as}1}(\text{R}_1)(12)+$ $\nu(\text{C-C})(11)+$ $\nu(\text{C-}$ $\text{C})(\text{R}_1)(11)+\text{sics}$ $\text{CCO}(9)+\delta_1(\text{R}_2)($ $9)$
				663 s						
644	652	633	641	645 s	668	2.3	2.81	3.1	2.01	$\tau_1(\text{R}_1)(20)+$ $\tau_2(\text{R}_2)(15)+$ $\tau_1(\text{R}_2)(14)+\gamma(\text{C8}$ $-\text{C16})(12)$
591	587	580	577	568 s	578	14.0	2.99	10.7	1.45	$\tau_2(\text{R}_2)(40)+$ $\tau_1(\text{R}_1)(11)$
581	580	571	570		560	4.5	2.64	4.5	4.73	$\tau_1(\text{R}_1)(38)+\gamma(\text{C-}$ $\text{H})(\text{R}_1)(14)+$ $\tau_1(\text{R}_2)(11)$
542	548	532	539	526 m	541	3.9	6.92	5.6	6.43	$\delta_{\text{as}2}(\text{R}_1)(32)+$ $\delta_{\text{as}1}(\text{R}_1)(20)+$ $\nu(\text{C-C})(\text{R}_1)(11)+$ $\nu(\text{C-C})(\text{R}_2)(9)$
471	464	463	456	450 s		9.1	2.46	1.9	0.45	$\delta_{\text{as}1}(\text{R}_1)(20)+$ $\beta(\text{C8-C16})(19)+$ $\delta_2(\text{R}_2)(15)+$ $\delta_{\text{as}2}(\text{R}_1)(10)+$ $\nu(\text{C-C})(\text{R}_2)(9)$
429	440	422	433	430 w		12.4	0.69	33.4	4.79	$\tau_2(\text{R}_1)(46)+$ $\tau_3(\text{R}_1)(30)+$

										butt(C1-C2)(11)
426	427	419	420	410	429	17.1	1.83	0.1	0.74	$\tau_3(R_1)(29)+\gamma(N-H)(R_2)(15)+$ sicsCCO(11)+ $\delta_2(R_2)(9)$
365	377	359	371		392	76.0	2.48	51.0	2.99	$\gamma(N-H)(R_2)(56)+$ $\tau_2(R_2)(23)$
325	271	320	266			115.6	2.54	103.4	3.52	$\tau(C16-O19)(55)+\gamma(N-H)(R_2)(18)+$ $\tau_2(R_2)(11)$
247	237	243	233		252	16.6	2.94	1.5	1.18	$\tau_2(R_1)(17)+$ $\tau_2(R_2)(11)+\gamma(C8-C16)(11)+\gamma(N-H)(R_2)(9)$
224	218	220	214		228	5.8	0.23	13.0	0.44	$\tau_3(R_1)(35)+$ butt(C1-C2)(31)+ $\tau_1(R_2)(12)+\gamma(C-H)(R_1)(9)$
190	199	186	196			8.0	3.90	11.3	3.43	$\beta(C8-C16)(26)+$ $\tau_2(R_1)(15)+$ $\tau_2(R_2)(11)+$ butt(C1-C2)(10)
110	107	108	105			0.4	28.62	0.5	29.15	$\gamma(C8-C16)(40)+$ sicsCCO(16)
61	54	60	53			1.9	21.29	2.3	27.74	$\tau(C8-C16)(71)+\gamma(C8-C16)(10)$
R <sub>1</sub> : Hexa ring; R <sub>2</sub> : Penta ring; v: Stretching; s: symmetric; as: asymmetric; $\delta$ : deformation; $\beta$ : bending in-plane; $\gamma$ : bending out-of-plane; $\tau$ : Torsion/Twisting; trig: triangular; rock: rocking; twist: twisting; wag: wagging; sics: scissoring; butt: butterfly. <sup>a</sup> I <sub>IR</sub> and I <sub>Ra</sub> , IR and Raman Intensity (kmmol <sup>-1</sup> ).										

Methylene group shows symmetric and asymmetric C-H stretching, CH<sub>2</sub> deformation, scissoring, wagging, twisting and rocking normal mode vibrations. The CH<sub>2</sub> antisymmetric and symmetric stretching vibrations are generally observed in the region 3000 cm<sup>-1</sup>-2900 cm<sup>-1</sup> and 2900 cm<sup>-1</sup>-2800 cm<sup>-1</sup> respectively [30]. In present case, the simulated wavenumber corresponding to CH<sub>2</sub> antisymmetric and symmetric stretching vibrations for Conf. 1/Conf. 2 are found to be 2937/2958 cm<sup>-1</sup> and 2870/2875 cm<sup>-1</sup> respectively, well matched with literature value [30] and experimental FT-IR bands at 2920 and 2857 cm<sup>-1</sup> respectively. The CH<sub>2</sub> scissoring vibration is observed at 1479 cm<sup>-1</sup> with PED 88%. From the theoretical calculations, the dominant CH<sub>2</sub> wagging mode is predicted at 1393 cm<sup>-1</sup>. It shows excellent agreement with the intense FT-IR band at 1398 cm<sup>-1</sup>. The peak observed at 1164/1162 cm<sup>-1</sup> in FTIR/FT-Raman is assigned as dominant CH<sub>2</sub> twisting vibration with corresponding calculated wavenumber 1176/1169 cm<sup>-1</sup> in Conf. 1/Conf. 2. Medium intense band at 928/927 cm<sup>-1</sup> in FTIR/FT-Raman spectrum of title molecule is assigned as CH<sub>2</sub> rocking mode.

Indole ring consist of six-member phenyl ring fused with five-member pyrrole ring. Hence its spectral region primarily involves the phenyl ring vibrations (Labelled R1) (C-C, C=C and C-H stretching, C-C-C, H-C-C-bending and torsion modes vibrations) and pyrrole ring (Labelled R2) vibrations (C-H, N-H, C-C, C=C, C-N stretching and C-C-C, H-C-C, C-N-C bending as well as torsion vibrations). The aromatic C-H stretching vibrations are expected to appear in 3100 cm<sup>-1</sup>-3000 cm<sup>-1</sup> frequency range as a group of partially overlapped bands [16] which is the characteristic region for easy detection of C-H

stretching vibrations. In this region, the bands are not affected significantly by the nature of substituent's [41-43]. In the present work, the prominent simulated C-H stretching peaks associated with fused phenyl ring of Conf. 1 and Conf. 2 conformers were found in region  $3058\text{ cm}^{-1}$ - $3030\text{ cm}^{-1}$  and  $3056\text{ cm}^{-1}$ - $3027\text{ cm}^{-1}$  respectively, while simulated higher wavenumber  $3103/3108\text{ cm}^{-1}$  (with 99% contribution to the PED) is identified as C-H stretching modes of pyrrole ring.

The C-H in-plane bending vibrations appear sharp but weak to medium intensity bands in  $1500\text{ cm}^{-1}$ - $1100\text{ cm}^{-1}$  region. The PEDs of calculated vibrational wavenumbers (TABLE 6) shows that in-plane C-H bending vibrations of I3C lies in range  $1496\text{ cm}^{-1}$ - $1131\text{ cm}^{-1}$ . The observed weak to medium intensity bands at  $1255\text{ cm}^{-1}$ ,  $1150\text{ cm}^{-1}$ ,  $1134\text{ cm}^{-1}$  in FT-IR and at  $1259\text{ cm}^{-1}$ ,  $1147\text{ cm}^{-1}$ ,  $1138\text{ cm}^{-1}$  in FT-Raman spectra of title compound are assigned as dominant in-plane C-H bending vibrations of I3C molecule. The C-H out-of-plane bending in substituted benzene appears in the range of  $1000\text{ cm}^{-1}$ - $675\text{ cm}^{-1}$  [44,45]. The strong bands at  $823\text{ cm}^{-1}$ ,  $782\text{ cm}^{-1}$ ,  $745\text{ cm}^{-1}$  in FT-IR and weak bands at  $848\text{ cm}^{-1}$ ,  $789\text{ cm}^{-1}$  in FT-Raman spectrum of I3C along with computed values at  $841\text{ cm}^{-1}$ ,  $797\text{ cm}^{-1}$  and  $737\text{ cm}^{-1}$  represents prominent out-of-plane C-H vibrations.

In general, the N-H stretching vibration in the heterocyclic compounds shows its presence in the region of  $3500\text{ cm}^{-1}$ - $3000\text{ cm}^{-1}$ . Intermolecular (N-H--- $\pi$ ) hydrogen bonding is clearly manifested in the deviation of theoretical wavenumbers of N-H stretching modes [16]. The observed band at  $3370\text{ cm}^{-1}$  in FT-IR spectrum of I3C compound is assigned as N-H stretching vibration. Deviation of this band with simulated value  $3519/3516\text{ cm}^{-1}$  in Conf. 1/Conf. 2 conformers indicates presence of strong intermolecular hydrogen bonding. The dominant N15-H13 out of plane bending vibration of Conf. 1/Conf. 2 is calculated at  $359/371\text{ cm}^{-1}$ , corresponding to experimental peak at  $392\text{ cm}^{-1}$  in the experimental FT-Raman spectra, well matched with N-H out-of-plane bending at  $394\text{ cm}^{-1}$  by Srivastava et al. [16]. The interesting C-C stretching (Kekule) vibrations associated with phenyl ring moiety have been predicted at  $1345\text{ cm}^{-1}$  and the corresponding bands in FT-IR/FT-Raman spectrums is observed at  $1348/1323\text{ cm}^{-1}$ . The assignment of indole ring breathing mode at  $756\text{ cm}^{-1}$  in FT-Raman spectrum of the title molecule agrees well with Takeuchi and Harada [45] who have reported indole ring breathing as a strong Raman band at  $763\text{ cm}^{-1}$  and also with literature [16]. The observed and calculated wavenumbers and assignments of present molecule are presented in TABLE 6.

### Natural bond orbital (NBO) analysis

The natural bonding orbital calculation has been performed at B3LYP/6-311++G (d, p) level to analyze the delocalization of electron density between occupied Lewis type (bond/lone pair) NBO orbitals and formally unoccupied (anti-bond/Rydberg) non-Lewis NBO orbitals corresponding to a stabilizing donor-acceptor interactions. This bonding-anti-bonding interaction can be quantitatively described in terms of the NBO approach which is expressed by means of second-order perturbation interaction energy  $E^{(2)}$ . The calculated second-order interaction energies  $E^{(2)}$  between the donor-acceptor orbitals of I3C for both the conformers are collected in TABLE 7.

Strong intra-molecular hyper conjugative interaction, of bonding  $\sigma$  electrons of (O19-H20) with antibonding  $\sigma^*$  (O19-H20),  $\sigma^*$ (C16-O19)  $\pi^*$ (C5-C6) and  $\pi^*$ (C3-C4) (interaction energies 169.52, 24.08, 14.25 and 10.06 kcal/mol respectively) for conf. 1. The interaction in the compound due to overlapping of lone pair (LP1) of N15 over  $\pi^*$ (C1-C2),  $\pi^*$ (C7-C8),  $\sigma^*$  (C16-O19) and  $\sigma^*$  (O19-H20) results in stabilization of 33.56 kcal/mol, 32.68 kcal/mol, 11.54 kcal/mol and 83.77 kcal/mol respectively. The  $E^{(2)}$  values of indole 3-carbinol (TABLE 7) also indicates the strong intra-molecular hyper-conjugation interactions formed by the orbital overlap between ring's (C-C) bonding and (C-C) anti-bond orbitals which results in intra-molecular

charge transfer (ICT) causing stabilization of the system. These interactions are observed as an increase in electron density (ED) in C-C anti-bonding orbital that weakens the respective bonds. These intra-molecular charge transfer ( $LP \rightarrow \sigma^*$ ,  $LP \rightarrow \pi^*$ ,  $\sigma \rightarrow \sigma^*$ ,  $\sigma \rightarrow \pi^*$  and  $\pi \rightarrow \pi^*$ ) may induce large nonlinearity to the molecule and the high intra molecular hyper-conjugative interaction around the ring may further induce the large bioactivity in the compound.

TABLE 7. Second order perturbation theory analysis of fock matrix on NBO basis for both conformers of I3C ( $E^{(2)} > 10$  kcal/mol).

Donor (i)	Type	ED (i) (e)	Acceptor (j)	Type	ED (j) (e) <sup>a</sup>	E (2) <sup>b</sup> kcal/mol	E(j)-E(i) <sup>c</sup> (a.u.)	F(i, j) <sup>d</sup> (a.u.)		
<b>Conformer 1</b>										
C1-C2	$\pi$	1.5914	C3-C4	$\pi^*$	0.3	17.13	0.32	0.068		
	$\pi$		C5-C6	$\pi^*$	0.33	15.54	0.33	0.065		
	$\pi$		C7-C8	$\pi^*$	0.3	17.48	0.29	0.065		
C3-C4	$\pi$	1.7231	C1-C2	$\pi^*$	0.48	17.55	0.28	0.066		
	$\pi$		C5-C6	$\pi^*$	0.33	13.2	0.33	0.06		
C5-C6	$\pi$	1.7364	C1-C2	$\pi^*$	0.48	19.06	0.28	0.07		
	$\pi$		C3-C4	$\pi^*$	0.30	14.78	0.33	0.063		
C7-C8	$\pi$	1.8472	C1-C2	$\pi^*$	0.48	15.62	0.3	0.067		
	$\sigma$		1.9918	C5-C6	$\pi^*$	0.33	11.54	0.89	0.099	
C16-O19	$\sigma$	C7-C8		$\sigma^*$	0.02	19.1	1.46	0.15		
	$\sigma$	C6-O19		$\sigma^*$	0.03	16.19	1.16	0.123		
	$\sigma$	O19-H20		$\sigma^*$	0.01	151.5	4	0.695		
	O19-H20	$\sigma$		1.9904	C3-C4	$\pi^*$	0.30	10.06	0.77	0.085
		$\sigma$			C5-C6	$\pi^*$	0.33	14.25	0.78	0.103
$\sigma$		C7-C8			$\sigma^*$	0.02	15.3	1.35	0.129	
N15	$\sigma$	1.9904	C16-O19	$\sigma^*$	0.03	24.08	1.05	0.143		
	$\sigma$		O19-H20	$\sigma^*$	0.01	169.52	3.89	0.725		
	LP(1)		C1-C2	$\pi^*$	0.48	33.56	0.3	0.093		
O19	LP(1)	1.9807	C7-C8	$\pi^*$	0.30	32.68	0.32	0.093		
	LP(1)		C16-O19	$\sigma^*$	0.03	11.54	0.97	0.095		
			O19-H20	$\sigma^*$	0.01	83.77	3.81	0.506		
<b>Conformer 2</b>										
	LP(1)									
C1-C2	$\pi$	1.58587	C3-C4	$\pi^*$	0.31	18.85	0.29	0.068		
C1-C2	$\pi$		C5-C6	$\pi^*$	0.33	18.18	0.28	0.065		
C1-C2	$\pi$		C7-C8	$\pi^*$	0.30	16.91	0.3	0.065		
C3-C4	$\pi$	1.73103	C1-C2	$\pi^*$	0.49	17.42	0.28	0.066		
C3-C4	$\pi$		C5-C6	$\pi^*$	0.33	19.5	0.29	0.068		
C5-C6	$\pi$	1.7348	C1-C2	$\pi^*$	0.49	18.95	0.28	0.069		
C5-C6	$\pi$		C3-C4	$\pi^*$	0.31	16.83	0.3	0.064		
C7-C8	$\pi$	1.83975	C1-C2	$\pi^*$	0.49	16.44	0.29	0.068		
C16-O19	$\sigma$		1.99084	C16-H18	$\sigma^*$	0.02	9.85	1.31	0.102	
C16-O19	$\sigma$	O19-H20		$\sigma^*$	0.01	64.08	3.25	0.408		
O19-H20	$\sigma$	1.98975	C16-H18	$\sigma^*$	0.02	16.52	1.22	0.127		
O19-H20	$\sigma$		O19-H20	$\sigma^*$	0.01	49.31	3.16	0.353		
N15	LP(1)	1.63172	C1-C2	$\pi^*$	0.49	34.03	0.3	0.093		
N15	LP(1)		C7-C8	$\pi^*$	0.30	32.26	0.33	0.093		
O19	LP(1)	1.97912	O19-H20	$\sigma^*$	0.01	12.82	3.07	0.178		
<sup>a</sup> ED: Electron Density										
<sup>b</sup> E(2): Mean energy of Hyper conjugative interactions										
<sup>c</sup> E(j)-E(i): Energy difference between donor and acceptor i and j NBO orbitals										
<sup>d</sup> F(i,j): The fock matrix element between i and j NBO orbitals										

## Thermodynamic properties

The standard statistical thermodynamic functions: heat capacity ( $C_{p,m}^0$ ), entropy ( $S_m^0$ ) and enthalpy changes ( $\Delta H_m^0$ ) for both conformers of compound studied were obtained on the basis of vibrational analysis using DFT-B3LYP/6-311++G (d, p) in the temperature range 100 K to 700 K and are listed in TABLE S3 (supplementary material). It is often useful to know these thermodynamic quantities, for each of the materials entering into any kind of chemical reaction. For the computation of thermodynamic data, Gaussian program assumes the non-interacting particle system and the ideal gas approximation. The calculated zero-point vibrational energy and rotational constants of Conf. 1/Conf. 2 conformers at standard temperature (298.15K) are found to be 101.62377/101.56537 Kcal/mol and 1.99437/2.08178, 0.93583/0.89194, 0.66205/0.65568 GHz respectively.

TABLE S3. Thermodynamical parameters of I3C molecule calculated at different temperatures using DFT/B3-LYP/6-311++G (d, p) method.

T(K)	$C_m^0$ (cal.mol <sup>-1</sup> K <sup>-1</sup> )		$S_m^0$ (cal.mol <sup>-1</sup> K <sup>-1</sup> )		$\Delta H_m^0$ (kcal.mol <sup>-1</sup> )	
	Conf. 1	Conf. 2	Conf. 1	Conf. 2	Conf. 1	Conf. 2
100	13.475	13.732	67.068	67.461	1.107	1.124
200	24.202	24.343	80.970	81.511	3.174	3.212
300	36.261	36.120	93.850	94.199	6.391	6.369
400	47.840	47.900	106.472	107.077	10.806	10.862
500	57.672	57.718	118.683	119.300	16.297	16.357
600	65.620	65.654	130.289	130.914	22.675	22.740
700	72.018	72.044	141.209	141.838	29.767	29.834

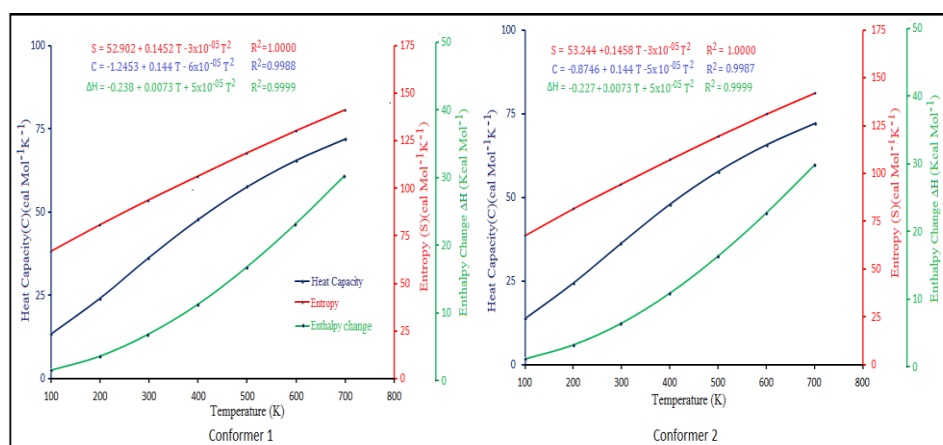


FIG. 12. Correlation graph of thermodynamical properties such as heat capacity, entropy and enthalpy change for the both conformer of title molecules calculated at various temperatures.

The correlation graphs for thermodynamic properties with respect to temperature (T) for both conformers, shown in FIG. 12, indicates that values of heat capacity, entropy and enthalpy increases with the increase of temperature, which is justified due to the enhancement of molecular vibrational intensities with the temperature. The correlation equations between entropy, heat capacity, enthalpy changes and temperatures were fitted by quadratic formulas and the corresponding fitting factors ( $R^2$ ) of these thermodynamic properties for conformer 1 are 1.0000, 0.9988 and 0.9999 respectively. The corresponding fitting equations are as follows-

$$(S_m^0) = 52.9020 + 0.1452T - 3 \times 10^{-5}T^2$$

$$(C_{p,m}^0) = -1.2453 + 0.1440T - 6 \times 10^{-5}T^2$$

$$(\Delta H_m^0) = -0.2380 + 0.0073T + 5 \times 10^{-5}T^2$$

All the thermodynamic data may deliver useful information for the further study on title compound. These parameters are useful in thermo-chemical field as they can be used to compute the other thermodynamic energies and estimate directions of chemical reactions according to relationships of thermodynamic functions and using second law of thermodynamics. It is important to note that all thermodynamic calculations were done in gas phase and they could not be used in solution.

## Conclusion

In the present work, a systematic structural and vibrational analysis has been performed for I3C. To ascertain the existence of either one or two conformer(s) of the title compound we have investigated the effect of functionals and basis sets on vibrational wavenumbers. The energy difference between the two conformers is computed to be less than 1 kcal/mol, a significant Boltzmann population is expected for conf. 2 at the room temperature. Our theoretical results are in line with Latosinska et al. and our studies confirm the existence of two conformers of I3C with unequal proportions. The major difference in the spectra of two conformers is in the region  $1200 \text{ cm}^{-1}$ - $700 \text{ cm}^{-1}$ , in particular in the C-O stretching vibration wavenumber. It is calculated at  $999 \text{ cm}^{-1}$  for Conf. 1 and at  $978 \text{ cm}^{-1}$  for Conf. 2, the experimental peak is somewhat intermediate between the two calculated wavenumbers, at  $984/985 \text{ cm}^{-1}$  in FTIR/FT-Raman spectrum. Simulated UV spectra of the two conformers are almost identical with peaks arising due to the similar transitions in both the conformers except Conf. 1 having higher oscillator strength as compared to Conf. 2 for the corresponding transition. A decent agreement between experimental and calculated combined spectra of the Conf. 1 and Conf. 2 (70% and 30% contribution) has been observed at B3LYP/6-311++G (d, p).

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